

NATIONAL GREENHOUSE AND ENERGY REPORTING

FORM

CER-NGER-005

v1.0 27/01/2015

Application for declaration of facility

under section 54 of the Nationals Greenhouse and Energy Reporting Act 2007

Purpose of this form

This form is for a controlling corporation to apply to the Clean Energy Regulator for a declaration that an activity or series of activities are a facility.

Eligibility

Defining a facility

Under the National Greenhouse and Energy Reporting Act 2007 (the NGER Act) a registered controlling corporation must report on the greenhouse gas emissions, energy production and energy consumption from the operation of facilities under the operational control of the corporation's group.

Defining each facility (and its boundaries) for which a corporate group has operational control is central to defining the reporting obligations of a registered corporation under the NGER Act.

A facility is defined as an activity or series of activities that:

- Produce greenhouse gas emissions and/or produce energy and/or consume energy,
- Are part of a process that produces one or more products or services,
- Are attributable to a single ANZSIC industry sector, and
- Occur at a single site.

The single site criteria does not apply to activities that can be attributed to transport industry sectors (such as air, road, rail, post and waste collection) and network industry sectors (such as electricity transmission, gas supply, water supply and telecommunications).

Declarations of facility

Under section 54 of the NGER Act the Clean Energy Regulator may make a declaration that an activity or series of activities are a facility, either on the Regulator's own initiative or on application by a controlling corporation.

When such a declaration is in force the facility is deemed to exist for the purposes of the NGER Act.

When to apply for a declaration of facility

Only in exceptional circumstances should a corporation apply to the Clean Energy Regulator for a declaration of facility.

Specialist advice should be sought where there is uncertainty or disagreement about the definition of a facility. All reasonable efforts to apply the definition of facility in the NGER Act to a corporation's circumstances should be made prior to any application for a declaration.

Requirements for an application for a declaration of facility

An applicant for a declaration of facility must be a controlling corporation for the purposes of the NGER Act.

Each application for a declaration of facility must relate to an activity or series of activities that are proposed to form a single facility.

The applicant or a member of its corporate group must have overall control over the activities or series of activities that are the subject of the application.

An application for a declaration of facility may be made at any time regardless of:

- whether the applicant has applied for registration under the NGER Act, and
- financial ownership of the activity or series of activities that are the subject of the application.

Instructions for completing this form

Please read each part of the application carefully, fully answer all the questions in those parts of the application that the applicant is required to submit, sign where indicated, and attach the required documentation.

Each applicant must complete and submit:

- Part A: Applicant Controlling Corporation details
- Part B: Member of the corporate group that has overall control
- The cover of Part C: Activities that are the subject of this application, section C1 and at sections C2 if applicable.
- Part D: Details of other activities
- Part E: Documents attached
- Part F: Details of other entities
- Part G: Signature

Applicants may elect to submit only the required parts of the application.

Pen colours	Please use a black or blue pen to write on the form.		
Check boxes Mark boxes like this \square with a \checkmark or \checkmark . When an instruction asks you to 'tick' the box, you still use either \checkmark or \checkmark .			
Go to	Where you see an instruction like this - □ Go to question 5 - mark the relevant box with a ✓ or ✗ and then skip to the question number shown. You do not need to answer the question(s) in between.		
	Where an instruction has a black double arrow (►), go to the next indicated part/section. Where an instruction has a black single arrow (►), go to the next question. Where an instruction has a black single arrow pointing down (►), fill in the field(s) directly below.		
Mandatory questions	If all fields in a question are mandatory and must be completed, (required) is added to the end of the question label text. If a field in a question is mandatory only IF a condition is met, (required if any) is added to the end of the question label text.		
•	This symbol indicates an instruction on what to do next.		
This symbol indicates additional useful guidance to filling in the adjacent field or se			

	This symbol advises that more than one entry may be required for the section and therefore you may need to photocopy or print the section or fill in a duplicate section.
ţ	This symbol advises that additional documentation to support a claim may need to be attached to the application.
Duplex printing	This form is designed to be duplex printed to save on paper. All new sections start on the right-hand side of a page spread.

Help filling in this form

The NGER Act should be read in conjunction with the *National Greenhouse and Energy Reporting Regulations* 2008 (the NGER Regulations).

The NGER Act, NGER Regulations and NGER Guidelines may be obtained on the Clean Energy Regulator website.

If you require assistance filling in the form or have any questions regarding this application process please contact the Clean Energy Regulator general enquiries line 1300 553 542 or mailto:reporting@cleanenergyregulator.gov.au

Submitting this form

A signed copy of this form should be kept for your records.

By post

Post your completed application with any accompanying documentation to:

Clean Energy Applications Clean Energy Regulator GPO Box 621 Canberra ACT 2601

By email

Alternatively, email your scanned, completed application to the Clean Energy Regulator at reporting@cleanenergyregulator.gov.au.

If the email and its attachments (the application and supporting documents) are larger than 10MB, they must be sent using multiple emails that are clearly marked (ie by including an identifier in the subject line, eg '1 of 3', '2 of 3', '3 of 3'). The signed application form must be saved as a single scanned file and not split into parts. Files may be zipped to reduce their size.

If you submit your application by email, you do not need to send the original hardcopy of the application by post.

Applicant controlling corporation details Part A: Provide the following details for the controlling corporation making the application 1. Name of controlling corporation* (required) Name 2. Head Office postal address* (required) Address Suburb/City State Country Postcode 3. Identifying details* (required) You must provide one of the following for the controlling corporation in order of precedence: ABN, ACN, ARBN or trading name and street address. ABN If the controlling corporation does not have an ABN, please provide the controlling corporation's ACN. ACN If the controlling corporation does not have an ABN or an ACN, please provide the controlling corporation's ARBN. ARBN If the applicant does not have an ABN, ACN or an ARBN please provide the applicant's trading name and street Tick here if the trading name and street address are the same as the trading name and head office postal address provided above and go to question 4. >> OTHERWISE provide details below: Trading name Street address Suburb/City State

Country

Postcode

4. Contact details* (r	equired)
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Phone number	
Email address	

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Part B:Member of the corporate group that has overall control

Provide the following details for the member of the corporate group that has overall control over the activity or series of activities that will constitute the proposed facility.

N	Member status				
•	This member	of the corporate group is:			
		the controlling corporation. Go to Question 9 >			
	OR	a subsidiary of a controlling corporation. Go to Question 6 🕨			
	OR	A joint venture. Go to Question 5 ▼			
	OR	A partnership. Go to Question 5 ▼			
5.	Nomination u	ınder section 8 (required)			
•	Has a respon Act?	sible entity for the joint venture or partnership been nominat	ed under secti	on 8 of the NGER	
	No 🗌	Go to Question 6 ▼			
	Yes	Go to Question 6 ▼			
C	ontrolling co	rporation			
5.	Name of cont	rolling corporation* (required)			
	Name				
7.	Head Office p	ostal address* (required)			
	Address				
	Suburb/City		State		
	Country		Postcode		

8. Identifying details* (required)

			or trading name and street address.				
AB	N						
If the controlling corporation does not have an ABN, please provide the controlling corporation's ACN.				's ACN.			
ACN							
		If the cor	ntrolling corporation does not have an ABN or an ACN, please provide t	he controlling c	orporation's ARBN.		
AR							
		g the appaddress:	plicant does not have an ABN, ACN or an ARBN please provide the appl	icant's trading i	name and street		
			Tick here if the trading name and street address are the same as the address provided above and go to question 9. ▶	trading name a	nd head office postal		
			OTHERWISE provide details below: ▼				
Tra	iding na	ame					
Str	eet add	dress					
Suburb/City		ity		State			
Co	untry			Postcode			
		details	of the member	Postcode			
Cor	ntact (details		Postcode			
Cor	ntact (ct (requ		Postcode			
Cor	Contact of Tick a	ct (requ	ired) iate title, or add other title in the space provided. Mr	Postcode			
9.	Contact of Tick a	ct (requ	iired) iate title, or add other title in the space provided.	Postcode			
9.	Contact of Tick a	ct (requ	iate title, or add other title in the space provided. Mr Mrs	Postcode			
9.	Contact of	ct (requ	iate title, or add other title in the space provided. Mr Mrs Miss Miss Ms	Postcode			
9. Titl	Contact of	ct (requappropr	iate title, or add other title in the space provided. Mr Mrs Miss	Postcode			
9. Titl	Contact of	ct (requappropr	iate title, or add other title in the space provided. Mr Mrs Miss Miss Ms	Postcode			
Othl	Contact of	ct (requappropr Full nam	iate title, or add other title in the space provided. Mr Mrs Miss Miss Ms	Postcode			
Othl	Contact of	ct (requappropr Full nam me ame(s)	iate title, or add other title in the space provided. Mr Mrs Miss Miss Ms e details below:	Postcode			
Otl Giv Miii Farr	Contact of	ct (requappropr Full nam me ame(s)	iate title, or add other title in the space provided. Mr Mrs Miss Miss Ms	Postcode			

Provide the	person's postal address details:		
Address			
Suburb/City		State	
Country		Postcode	
Provide the pro	person's contact details:		
Phone number			
Email address			

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Part C: Activities that are the subject of this application

Provide the following details for the proposed facility and the activity (or series of activities) that will constitute the proposed facility.

10. Nar	ne of pr	oposed fa	cility* (required)		
Name					
11. Act	ivities at	the facili	ty* (required)		
Where	are, or w	here will,	the activities that constitute the proposed facility be carried out?		
]	Differe	nt sites. Go to question 11a below ▼		
OR]	A series	s of physical locations. Go to question 11b below ▼		
OR _]		of physical location. Go to question 11c below 🔻		
11a. Dif	ferent s	ites			
Ļ			$m{nt}$ to this application describing each of the activities and explaining how the a undertaking or enterprise.	ectivities for	rm, or
		(i)	Refer to Subdivision 2.4.2 of the NGER Regulations for the definition of 'single undertaking or enterprise'.	Code	Attached
		Different	sites statement	EV011a	
		1	To assist in assembly and document tracking, mark the attachment with the attach them to the application.	correct Cod	e and
11b. A s	Attach		ocations Int to this application describing each of the activities and explaining how the activities and activities activities activities and activities acti	activities foi	rm, or
		①	Refer to Subdivision 2.4.2 of the NGER Regulations for the definition of 'single undertaking or enterprise'.	Code	Attached
		A series (of physical locations statement	EV011b	
		(i)	To assist in assembly and document tracking, mark the attachment with the attach them to the application.		e and
11c. A s		physical I	ocations nt to this application describing each of the activities that are the subject of th	is applicatio	on. Attached
		A single o	of physical location statement	EV011c	
		(i)	To assist in assembly and document tracking, mark the attachment with the attach them to the application.		e and

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C1. Principal activity

- Provide the following details for each of the activities that are the subject of the application.
 - ① One activity must be specified as the proposed **principal activity**, and detailed below.
 - If you are nominating more than one activity, please photocopy the NEXT section, complete and attach details of each ancillary activity which are the subject of this application.

Principal activity

- This activity is proposed to be the principal activity for the proposed facility for the purpose of regulation 6.02 (1)(e)(i) of the NGER Regulations.
- (i) Other activities IF detailed in this application on the following pages are proposed to be ancillary activities for the purpose of regulation 6.02 (1)(e)(ii) of the NGER Regulations. Refer to regulation 6.02 (2) of the NGER Regulations for the definition of principal activity.

12. Industry sector* (required)

Add the Industry sector to which the principal activity is attributable to under the NGER Regulations.

Refer to Schedule	2 of the NGER Regulation	ns for relevant code and classification. (Use ANZSIC 3 d	ligit group codes.
	ANZSIC Code (3 digit)	Classification		
ANZSIC				
13. Facility locati	on*			
-	ility have a street address	?		
No 🗍	Go to question 13a ▼			
Yes	Go to question 13b ▶			
13a. Other descri	iption*			
	· scription of where the act	ivity will be carried out.		
Other description				
13b. Street addre	ess of the facility*			
Provide a str	eet address of the facility			
Address				
Suburb/City			State	
Country			Postcode	

14. Transport fac	ility* (required)						
• Are all the pr	Are all the principal activities proposed to form a transport facility?						
No 🗌	Go to question 14a ▼						
Yes	Go to question 14b ▶						
14a. Facility geoc	ode						
Provide the I	atitude and longitude of the	e principal activity					
	Latitude	Longitude					
Facility geocode	Facility geocode Google Maps reference accepted for Geocoding: http://maps.google.com.au/						
14b. State/Territory							
Which State or Territory are the activities constituting the facility attributed to?							
State/Territory							

C2. Ancillary activities

	Provide the following details for ea	ach of the ancillary ac	ctivities that are the su	bject of the application.
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If you are nominating more than one activity, please photocopy this section, complete and attach details of each
ancillary activity which are the subject of this application.

Apcill	2011	ctivity
	alva	

(i)	Other activities detailed below in this application are proposed to be ancillary activities for the purpose of
	regulation 6.02 (1)(e)(ii) of the NGER Regulations. Refer to regulation 6.02 (2) of the NGER Regulations for the
	definition of principal activity.

15. F	acility	locati	on*
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r	egulatio	·	oplication are proposed to be ancillary a egulations. Refer to regulation 6.02 (2) o		
15. Facility	y locatio	on*			
Does	the faci	lity have a street address?			
No 🗌		Go to question 15a ▼			
Yes	_	Go to question 15b ▶			
15a. Other	r descri _l	ption*			
Provid	de a des	scription of where the activi	ty will be carried out.		
	t addre	ess of the facility* eet address of the facility.			
		ect address of the facility.			
Address	-				
	-				
	-				
Suburb/Cit	ty			State	
Country				Postcode	
16. Transp	ort faci	ility* (required)			
ls this	ancilla	ry activity proposed to form	part of a transport facility?		
No 🗌		Go to question 16a ▼			
Yes	_	Go to question 16b ▶			
16a. Facilit	ty geoc	ode			
Provid	de the la	atitude and longitude of the	e principal activity		
		Latitude	Longitude		

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Facility geocode Google Maps reference accepted for Geocoding: http://maps.google.com.au/					
State/Territory					
Which State or Territory are the activities constituting the facility attributed to?					
State/Territory					

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Part D: Details of other activities

17. Oth	ner activi	ities		
			r activity or series of activities that are the subject of a further applic n to the same site or sites as those listed in this application?	ation for
No _		Go to Part E ▼		
Yes]	Attach a statement (see below) ▼	
4			elication setting out the details of the other activities which explains how all tes, relate or will relate, to each other.	the
			Code	Attached
		Other activities staten	nent EV017	
		To assist in assembly a the application.	nd document tracking, mark the attachment with the correct Code and att	ach them to
Dart	· E· D	ocuments a	ttached	
rait	. L. D	ocuments a	ttacheu	
¥		make sure the following ed to your application	statement documents mentioned in previous question in this application h	ave been
			Code	Attached
Questi	on 11 – A	activities at the facility	EV011x	
		Other activities	EV017	
To assi	st in asse	mbly and document trac	cking, mark the attachment with the correct Code and attach them to the a	pplication.
Part	F: D	etails of oth	er entities	
		ies (required)		
		any other entities that ais application?	may be affected if the Clean Energy Regulator were to make a decla	ration in
(i)	For exa	ample contractors and/o	r corporate entities outside the applicant controlling corporations group.	
No		Go to Part G ▼		
Yes]	Go to Question 19 ▼		
19. Ho	w many (other entities (require	ed)	
Numbe			Fill in an Other entity sheet for each entity and attach them to the applica	ation
othere	entities			2017

Other entity



If you are nominating more than one other entity, please photocopy this section before starting to fill it in, then complete and attach details of each every entity that are the subject of this application.

20. Name of oth	er entity* (required)		
Name			
21. Head Office	postal address* (required)		
Address			
Suburb/City		State	
Country		Postcode	
22. Contact pers	on (required)		
	riate title, or add other title in the space provided.		
Title	Mr		
	Mrs		
	Miss Ms		
Other			
Add full nam	ne details below:		
Given name			
Middle name(s)			
Family name			
Provide the	person's contact details:		
Phone number			
Fmail address			

Part G: Signature

- This form should be signed by the Chief Executive Officer or equivalent of the controlling corporation making this application.
- Under Division 137 of the Criminal Code it may be an offence to provide false or misleading information or documents to the Clean Energy Regulator in purported compliance with the National Greenhouse and Energy Reporting Act 2007.

Name *						
Title/Position *						
Organisation (required, if any)						
Phone number*				Alternate phon number (optional)	е	
Postal address						
Suburb/City					State	
Country					Postcode	
Signature *						
	Day (dd)	Month (mm)	Year (yyyy)			
Signature date				numbers only		

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Additional information

This document contains important terms that are found within the NGER Act. Refer to the NGER Act, in conjunction with the NGER Regulations, for the meaning of these terms and ensure that you correctly apply them to your particular circumstances.

The National Greenhouse and Energy Reporting Guidelines (the NGER Guidelines) have been developed to help corporations understand their obligations under the NGER Act and the NGER Regulations.

The NGER Act, NGER Regulations and NGER Guidelines may be obtained at the <u>Clean Energy Regulator website</u> (http://www.cleanenergyregulator.gov.au/National-Greenhouse-and-Energy-Reporting/Pages/default.aspx).

Assessment of the Application

Once an application has been received, the Clean Energy Regulator will consider the application and the information provided.

For each application for a declaration of a facility, the Clean Energy Regulator will either:

- make a declaration, or
- refuse the application.

The Clean Energy Regulator may seek any further information required to inform the decision on the application, either from the applicant corporation or any other affected party.

Please ensure that all details provided in this application are accurate, up to date and complete. A declaration will only be made if complete information is provided.

There is no statutory timeframe for a decision regarding an application for a declaration of facility.

The Clean Energy Regulator will notify the applicant in writing of the decision on the application.

Protection of information

The Clean Energy Regulator is bound by the secrecy provisions of Part 3 of the *Clean Energy Regulator Act 2011* (CER Act) in regard to information it collects in relation to this application and also by the *Privacy Act 1988* in regard to personal information it collects.

Privacy notice

'Personal information' is defined in the *Privacy Act 1988* to mean information or an opinion about an identified individual, or an individual who is reasonably identifiable:

- a) whether the information or opinion is true or not; and
- b) whether the information or opinion is recorded in a material form or not.

The collection of personal information relating to this application is authorised by the *National Greenhouse and Energy Reporting Act 2007* (NGER Act) and the *National Greenhouse and Energy Reporting Regulations 2008*.

Personal information collected in relation to this application will be used for the purposes of assessing the application, auditing compliance, enforcement of relevant laws and regulations and for related purposes. We cannot process the application if we do not collect relevant personal information.

The Clean Energy Regulator's Privacy Policy contains information about the agency's procedures for handling personal information including how a person can access their personal information held by the agency, and how to seek correction of such information. The Privacy Policy also contains information about how to complain about

a breach of the Australian Privacy Principles. The Clean Energy Regulator's Privacy Policy can be found at www.cleanenergyregulator.gov.au.

Accessibility disclaimer

Whilst the Clean Energy Regulator has worked to ensure this document is accessible, please contact us to obtain an alternative version if you are having difficulty, or you have specific accessibility needs.

Please call **1300 553 542** or email the name of the form and your needs to enquiries@cleanenergyregulator.gov.au

Disclosure of information

The Clean Energy Regulator is only able to disclose information relating to this application (including personal information) in accordance with the *Clean Energy Regulator Act 2011*, the NGER Act, the *Privacy Act 1988* or as otherwise required by law.

The circumstances in which such information may be disclosed include:

- Disclosure to other agencies, persons or organisations to enable the verification of information contained in the application;
- Disclosure to the Secretary or authorised officer of a Department for the purpose of administering a program or collecting statistics relating to greenhouse gas emissions, energy consumption or energy production;
- Disclosure to certain agencies, bodies or persons where the Regulator is satisfied that disclosure will enable or assist those agencies, bodies or persons to perform or exercise their functions or powers, including the Australian Securities and Investments Commission, the Australian Competition and Consumer Commission and the Commissioner of Taxation;
- Disclosure for the purposes of law enforcement; and
- Disclosure to States and Territories in accordance with the NGER Act.